

# Governance

## University Senate and Executive

Our University is governed by a Senate of 35 members (page 16) representing University and community interests. Senate is led by the Chancellor and the Deputy Chancellor, elected from its ranks.

The *University of Queensland Act 1998* grants Senate wide powers to

- appoint the University's staff,
- manage and control the University's affairs and property, and
- manage and control finances so as to promote the University's interests.

Senate may delegate power to a Senator, University staff member, or committee including one or more Senators. Senate can nominate members and the chair of any committee, and determine a quorum. Senate may not delegate its power to make University Statutes or Rules, adopt the University's Annual Budget, or approve spending of bequests, donations or special grants.

The Vice-Chancellor is our Chief Executive Officer and responsible to the Senate for the overall direction of strategic planning, finance and external affairs.

The Vice-Chancellor is supported by an Executive (pages 14-15) comprising a

- Senior Deputy Vice-Chancellor,
- Deputy Vice-Chancellor (International and Development),
- Deputy Vice-Chancellor (Academic),
- Deputy Vice-Chancellor (Research),
- Secretary and Registrar, and
- President, Academic Board.

## Monitoring quality

The Australian Universities Quality Agency (AUQA) audit report [www.auqa.edu.au/qualityaudit/sai\\_reports/index.shtml](http://www.auqa.edu.au/qualityaudit/sai_reports/index.shtml) commended our outstanding leadership and exceptional quality performance.

The report, based on an AUQA audit panel visit in June, found our quality processes to be of a very high standard, and concluded that we monitor ourselves effectively and continuously. It endorsed the positive relationship between our Senate, Executive and wider University community.

Nineteen commendations singled out our outstanding strategic management, teaching and learning processes, and strong support for

researchers, noting that our research excellence is "not achieved at the expense of teaching".

The report made 16 recommendations for action, acknowledging that we had already identified (in our Performance Portfolio) most areas requiring action.

Our 30th Senate (page 16) approved an action plan in November for implementing these recommendations and submitted the plan to AUQA. We will report around April 2004 on our progress.

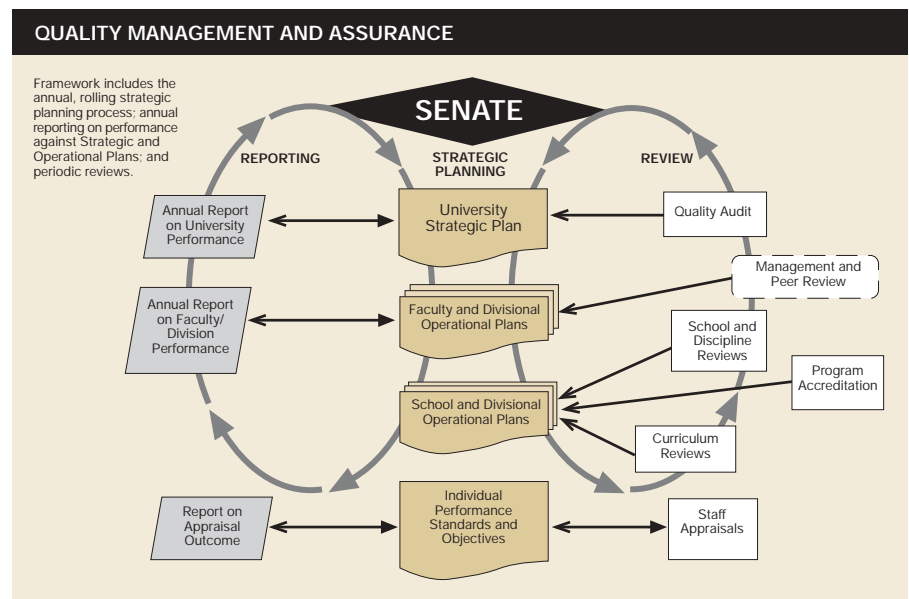
A **Senate Retreat** in September, in line with Senate's 2002 action plan for self-improvement, focused on:

- a review of strategic pressures and priorities;
- amendments (related to equity and access) to our Key Operational Priorities;
- our future size and student mix; and
- financial and budgetary implications of the Nelson reforms.

In addition to regular self-reviews (pages 13, 26), we completed a strategic **review of UQ Ipswich** covering its initial operating period of 1999-2003, to advise our Vice-Chancellor about directions the campus might take over the next five to 10 years. Results will be available in 2004.

The eight-member review committee (supported by a steering committee comprising four

KEY GOVERNANCE AND MANAGEMENT BODIES*	
Body	Composition
Senate (governing body)	35 members
- official members	7
- appointed members	11
- elected members	15
- additional members	2
Vice-Chancellor and Executive	7 members
Academic Board	125 <sup>†</sup> members
Faculties	7 units
Central services and divisions	10 units
Schools	32 units
* at December 2003	
<sup>†</sup> may include individuals in dual roles and some vacancies	



members of the Vice-Chancellor's Executive) represented the University, the Ipswich community and UQ Ipswich students. Members met four times in 2003 and again in February 2004. They interviewed key staff involved in development of the campus, and considered information gathered via

- focus groups representing staff (academic and general) and key external stakeholders,
- surveys of students and graduates, and
- 39 submissions from University staff and key external groups.

## Ethical standards

Our **Code of Conduct** [www.uq.edu.au/hupp/contents/view.asp?s1=1](http://www.uq.edu.au/hupp/contents/view.asp?s1=1), launched in 1997, remains unchanged. We disseminate its principles through our Staff Development Program via leadership and management training courses and regular staff induction seminars.

We maintain high ethical standards for research and teaching involving animals and humans. The following three committees are executive committees reporting to the Vice-Chancellor through the Deputy Vice-Chancellor (Research).

Our **Animal Ethics Committee** and its subcommittees determine animal welfare policy and procedures. All relevant activities must have Animal Ethics Clearance from the Animal Ethics Committee and comply with all State and Federal Acts and guidelines governing such activities. Our Animal Welfare Unit administers these requirements. The University is registered under the *Animal Care and Protection Act 2001* as a scientific user of animals (User Number 0020).

Our **Human Experimental Ethical Review Committee** (a registered Human Research Ethics Committee) and its subcommittees determine our policy on research and teaching involving human subjects or human-related materials. These policies are based on the National Statement on Ethical Conduct in Research Involving Humans (1999) and are administered by our Ethics Office.

Our **Institutional Biosafety Committee** reviews all research as prescribed by the *Gene Technology Act 2000*. It is also responsible for regulating (1) use of high-risk biological material that is not genetically modified; (2) importation of quarantine material (regulated by the Australian Quarantine Inspection Service); (3) export of high-risk material (regulated by the Department of Defence); and (4) transport of biological material by air, post and road. The secretary of the Committee is a member of our Occupational Health and Safety Unit.

In addition, our Institute for Molecular Bioscience and Faculty of Social and Behavioural Sciences employ a director of public policy and ethics, to research and comment on ethical and policy issues raised by **bioscience research and biotechnology**. This is an advisory rather than a regulatory position, and shows our commitment to fostering ongoing research and collaborations between the social and other sciences.

## Whistleblowers

We received two complaints under the *Whistleblowers Act* this year (the first since 2000, when two complaints proved to be unsubstantiated). Staff from our Internal Audit Office and Personnel Services investigated. Both investigations prompted improvements to processes and procedures and, in one case, to the organisational structure.

## Internal audit

Internal Audit Office (IAO) staff completed 69 reports on audits of faculties, schools, centres, IT systems and University-wide areas. Complex reviews included:

- mySI-Net applications;
- my.UQ system;
- business continuity planning (Central Administration);
- firewall security (Central Administration);
- hire of University facilities;
- real estate and rental properties;
- Management Information Systems – data warehouse;
- Development Office – IT environment;
- International Education Directorate – database management;
- e-business implementations;
- the University's Traffic and Parking information systems;
- Student and Administrative Services – database management;
- IMB – IT environment; and
- University of Queensland Press and Bookshop.

We used our self-assessment questionnaire approach to increase audit coverage and encourage good business practice in the following University-wide areas:

- database security;
- local area network management;
- travel expenses compliance;
- delegations of authority compliance; and
- compliance with policy and procedure.

IAO staff continued to provide advice to various organisational areas on the implementation of an expanded risk management framework.

## Risk management

We have managed health and safety and insurance risks through our Risk Management Committee for several years; and we have adopted a risk assessment approach in planning and executing major projects and in prioritising the work of our IAO.

In 2003, we moved significantly towards embedding a risk management culture University-wide.

Our Senate approved a Corporate Risk Profile and Management Plan prepared after advice from PricewaterhouseCoopers. This gives the Vice-Chancellor's Executive responsibility for managing University-wide risk and for implementing our Risk Management Plan.

Faculties and central services now include identification and management of business risk in their operational plans. The Manager, Internal Audit is coordinating the establishment of a framework for reporting on the management of risk, both at the corporate/strategic and operational levels.

## Freedom of information

Twenty-six people (17 staff, four students and five members of the public) accessed University documents under the *Queensland Freedom of Information Act 1992*. Most staff and students sought personal information and external applicants generally sought non-personal information about our activities.

We also gave access to many documents (usually personal to the applicant) as part of routine administrative processes not subject to formal FOI procedures.

# Senior officers

The Chancellor and Deputy Chancellor lead our 35-member University Senate and are elected from its ranks. The Vice-Chancellor is our Chief Executive Officer. He is supported by a six-member Executive as detailed on these pages.



**The Honourable  
Sir Llewellyn  
Edwards, AC**

MB BS *Qld.*, Hon LLD  
*Qld.*, Hon DUniv *QUT*,  
FRACMA, FAIM

## Chancellor

Leads the University Senate and presides at Senate meetings

Re-elected to his fourth three-year term as Chancellor from 2002. Member of Senate since 1984. Executive consultant to Jones Lang LaSalle in commercial real estate. Director of several public companies. Former chair and chief executive officer of World Expo '88 Authority. Elected to Queensland Parliament as MLA (Lib.) for Ipswich in 1972; served terms as health minister, deputy premier and treasurer before leaving Parliament in 1983. Member of the Queensland Government's Forde Foundation Advisory Council; chair of UQ Holdings Pty Ltd 1998-; chair of the Asia Pacific Economic Forum.



**Professor  
Robert Wensley**

QC, BE(Hons), MEngSc,  
LLB(Hons) *Qld.*

## Deputy Chancellor

Acts as Chancellor in the absence of the Chancellor or when the office of Chancellor is vacant

Re-elected to his second three-year term as Deputy Chancellor from 2002. Senate member 1978-86 and 1988-. A Queen's Counsel, barrister, practising arbitrator and mediator. Adjunct Professor T.C. Beirne School of Law. Director of UQ Holdings Pty Ltd. Annual Appeal President 1998-99. Former chair Queensland Building Tribunal. Memberships: Institute of Arbitrators and Mediators Australia; the University's Audit, Discipline Appeals, Honorary Degrees, Honorary and Adjunct Professorial Appointments Committees; the Business, Economics and Law Faculty Board; Women's College Council; King's College Council.



**Professor  
John Hay, AC**

BA(Hons) *W.Aust.* and  
*Camb.*, MA *Camb.*, PhD  
*W.Aust.*, Hon LittD  
*Deakin*, FACE

## Vice-Chancellor

CEO, responsible to Senate for overall direction of strategic planning, finance and external affairs

Vice-Chancellor and ex officio member of Senate since 1996. Previously Vice-Chancellor and President, Deakin University 1992-95; Deputy Vice-Chancellor, Monash University 1988-91; and Dean of Arts, Monash 1987, establishing National Key Centre for Australian Studies. Chair of *Universitas 21* and Australian Universities' Teaching Committee. Deputy Chair of the Brisbane Institute. Memberships: Brisbane City Council Economic Development Committee; Board of Trustees, Queensland Performing Arts Trust. Board member Australian Institute for Commercialisation. General editor of *Bibliography of Australian Literature* project.

## University committees

SENATE	
Committee	Chair
Art Collections	Chancellor
*Audit	Professor Robert Wensley
*Buildings and Grounds	Dr Mary Mahoney
Chaplaincy	Mr Denis Brosnan
*Finance	Mr Norbury Rogers
*Legislative	Mr Roger Byrom
Occupational Health and Safety	Dr Frederick Schubert
Professorial Promotions	Vice-Chancellor
Standing Committee on Status of Women	Dr Mary Mahoney
Student Appeals	Deputy Vice-Chancellor (Academic)

ACADEMIC BOARD – SENIOR ACADEMIC ADVISORY	
Committee	Chair
Academic Board Standing Committee	President, Academic Board
Academic Programs Policy	President, Academic Board
Academic Programs Review	President, Academic Board
Library	Deputy President, Academic Board
Postgraduate Research Studies	Director, Graduate School
Research	Deputy Vice-Chancellor (Research)
Teaching and Learning	Deputy Vice-Chancellor (Academic)
Appointments and Promotions	President, Academic Board

\* key Senate committees



**Professor Paul Greenfield**

BE(Hons), PhD *NSW*,  
BEcon *Qld.*, FTSE,  
FICHEM, FIEAust,  
MAICHE

**Senior Deputy Vice-Chancellor**

Responsible for strategic planning and overall management of faculties, IMB, Queensland Brain Institute and Library

Appointed Senior Deputy Vice-Chancellor in 2002. Previously Deputy Vice-Chancellor 2001; Deputy Vice-Chancellor (Research) 1997-00; Executive Dean of the Faculty of Engineering, Physical Sciences and Architecture (est. 1997); and Pro-Vice-Chancellor (Physical Sciences and Engineering) 1993-96. Chair of Scientific Advisory Group to the S.E. Qld Regional Water Quality Strategy 1994-; Waste Technical Working Group (Basel Convention) 1996-; and Advisory Board of I.P. Australia 1999-. Director of several University companies including UniQuest Pty Ltd.



**Professor Trevor Grigg**

BE(Hons), BEcon, PhD  
*Qld.*, CPEng, FIEAust,  
FAIM, FAICD

**Deputy Vice-Chancellor (International and Development)**

Responsible for international development and policy, UQ Ipswich, fundraising and development, capital management and space planning

Appointed Deputy Vice-Chancellor (International and Development) in 2000. Previously University of Queensland Pro-Vice-Chancellor (Academic) 1997-99; Dean of Business, Queensland University of Technology 1995-97; University of Queensland Head, Graduate School of Management 1992-94. Directorships: Brisbane Water Advisory Board; CRC for Sustainable Tourism Pty Ltd; Carisgold Pty Ltd; iTel Community Telco Ltd; Queensland Education and Training Export Board; CRC Sustainable Tourism Holdings Pty Ltd.



**Professor Margaret Gardner**

BEcon(Hons), PhD *Syd.*,  
FAIM

**Deputy Vice-Chancellor (Academic)**

Oversees policies on teaching and learning, information technology, quality assurance, equity and academic staffing. Responsibilities include Dean of Students Office, Office of Marketing and Communications, Customs House, the Equity Office and the Teaching and Educational Development Institute

Appointed Deputy Vice-Chancellor (Academic) in 2002. Previously University of Queensland Pro-Vice-Chancellor (Academic) 2001; Griffith University Pro-Vice-Chancellor (Business, Equity) 1996-00. Directorships: Queensland Music Festival 1998-; Brisbane Grammar School Board of Trustees 2003-; Australian Centre for Complementary Medicine Education and Research 2001-. Member of President's Advisory Committee of Queensland Industrial Relations Commission 2000-.



**Professor David Siddle**

BA(Hons), PhD *Qld.*,  
FASSA, FAPS

**Deputy Vice-Chancellor (Research)**

Develops and promotes research and research training, collaborations and funding submissions

Appointed Deputy Vice-Chancellor (Research) in 2002. Previously University of Queensland Pro-Vice-Chancellor (Research) 2001; University of Sydney Pro-Vice-Chancellor (Research) 1997-01; and University of Queensland Dean, Postgraduate Studies 1993-97. Former chair ARC Social Sciences and Humanities Panel 1993-94 and deputy chair ARC Research Grants Committee 1994. Directorships: Australian Canopy Crane Research Facility Pty Ltd; Queensland Parallel Supercomputing Foundation Ltd; Australian Housing and Urban Research Institute Ltd; AHURI Queensland Research Centre Ltd; and a board member for three cooperative research centres.



**Mr Douglas Porter**

BA(Hons) *Durh.*, FAIM

**Secretary and Registrar**

Leads and coordinates the work of central administrative divisions and information technology services; Secretary to the University Senate

Appointed Secretary and Registrar in 1986. Previously Deputy Registrar, University of Manchester; University Planning Officer, University of Aston, Birmingham. Directorships: QTAC Board; UniSeed Pty Ltd Board; UniQuest Pty Ltd; Comquest Group Board; SARV Pty Ltd Board; CiTR Pty Ltd; Joint Company Secretary UQ Holdings. Secretary to The University of Queensland Senate. Member of the PeopleSoft International Customer Advisory Board. Honorary Secretary to the Queensland Rhodes Scholarship Selection Committee.



**Professor Jenny Strong**

BOccThy, MOccThy, PhD  
*Qld.*

**President of the Academic Board**

Chairs and coordinates the Board's work on policies relating to academic programs, teaching and learning, assessment, research, academic staff promotions, prizes and scholarships. Oversees reviews of academic schools, centres and other organisational units offering teaching and research programs

Appointed President, Academic Board in 2002. Professor of Occupational Therapy since 1997. Memberships: International Association for the Study of Pain; OT Australia; Australian Pain Society, NZ Pain Society. Member of steering committee for OT Australia National Standards Scheme Accredited OT Program. Editorial board for *American Journal of Occupational Therapy* and *Occupational Therapy Journal of Research*. Former deputy chair, Occupational Therapy Board of Queensland and former director, Australian Pain Society.

# University Senate

2003 was the second year in the term of the 30th Senate of The University of Queensland, beginning January 1, 2002 and ending December 31, 2004. Senate met formally on seven occasions in 2003.

## **Chancellor**

The Honourable Sir Llewellyn Edwards, AC, MB BS *Qld.*, Hon.LLD *Qld.*, Hon DUniv *QUT*, FRACMA, FAIM

## **Deputy Chancellor**

Professor Robert N. Wensley, QC, BE(Hons) *Qld.*, MEngSc *Qld.*, LLB(Hons) *Qld.*

## **Vice-Chancellor**

Professor John A. Hay, AC, BA(Hons) *WAust.*, MA *Camb.*, PhD *WAust.*, Hon.LittD *Deakin*, FACE

## **In attendance at Senate but not members of Senate**

### **Senior Deputy Vice-Chancellor**

Professor Paul F. Greenfield, BE(Hons) *NSW*, PhD *NSW*, BEcon *Qld.*, FTSE, FICHEM, FIEAust, MAICH

### **Deputy Vice-Chancellor (International and Development)**

Professor Trevor J. Grigg, BE(Hons) *Qld.*, BEcon *Qld.*, PhD *Qld.*, CPEng, MIEAust, FAIM, FAICD

### **Deputy Vice-Chancellor (Academic)**

Professor Margaret Gardner, BEcon(Hons) *Syd.*, PhD *Syd.*, FAIM

### **Deputy Vice-Chancellor (Research)**

Professor David Siddle, BA(Hons) *Qld.*, PhD *Qld.*, FASSA, FAPS

### **Secretary and Registrar**

Mr Douglas Porter, BA(Hons) *Durh.*, FAIM

## **Official members**

### **Vice-Chancellor**

Professor John A. Hay, AC, BA(Hons) *WAust.*, MA *Camb.*, PhD *WAust.*, Hon.LittD *Deakin*, FACE

### **Director-General of Education**

Mr Ken Smith, BSW(Hons) *NSW*, MSW *NSW*

### **President of the Academic Board**

Professor Jenny Strong, BOccThy *Qld.*, MOccThy *Qld.*, PhD *Qld.*

### **President of The University of Queensland Academic Staff Association**

Dr Andrew Bonnell, BA(Hons) *Syd.*, PhD *Syd.* (Acting President to June 2003, President thereafter)

### **President of The University of Queensland Union**

Mr Aaron Marsham

### **Anglican Archbishop of Brisbane**

The Most Reverend Dr Phillip Aspinall, BSc *Tas.*, GDipRE *BCAE*, BD(Hons) *Melb.* C.Div., PhD *Monash*, GDipMan *Deakin*, MBA *Deakin*

### **Roman Catholic Archbishop of Brisbane**

The Most Reverend John A. Bathersby, DD, STD Greg., DUniv *Griff.*

## **Appointed members**

Eleven members appointed by the Governor-in-Council

- Ms Eleanor Davidson, BBus *Queens*, FAIM
- Mr G.H. (Ted) Edwards, FAICD
- The Honourable Sir Llewellyn Edwards, AC, MBBS *Qld.*, Hon.LLD *Qld.*, FRACMA, FAIM
- Mr David Gray, BSc *Newcastle (UK)*
- Dr Peter Ho, BSc(Hons) *Warwick*, PhD *Qld.*, MICE, MISTRUCTE, MIEAust, MHKIE
- Ms Rachel G. Nolan, MP, BA(Hons) *Qld.*
- Ms Renaye Peters, BAppSc, *Qld.UT*, BArch(Hons) *Qld.UT*, GDipPMgmt *Qld.UT*
- Mr A.E. de Norbury Rogers, AO, BCom *Qld.*, AAUQ *Qld.*, FCA, FAICD
- Dr David J.H. Watson, MP, BCom(Hons) *Qld.*, AAUQ *Qld.*, MA *Ohio State*, PhD *Ohio State*, FCPA, FCA
- Ms Lilla Watson, BA *Qld.*
- The Honourable Justice Margaret J. White, LLB *Adel.*

## **Elected members**

### **One member of the Academic Board**

Professor John de Jersey, BSc(Hons) *Qld.*, PhD *Qld.*

### **Three members of the full-time graduate staff**

- Professor Gordon C. Grigg, BSc(Hons) *Qld.*, PhD *Oregon*, DSc *Syd.*, FRZS *NSW*, FAIB
- Ms Jan Massey, BA(Hons) *Qld.*, MAdmin *Griff.*
- Ms Diane J. Zetlin, BA(Hons) *Qld.*

### **One member of the full-time non-graduate staff**

Ms Kay Whitfield

### **Two students**

- Mr Matthew S. Collins
- Ms Juliana E. Virine

### **Eight members of Convocation**

- Mr Donald S. Barrett, BA(Hons) *Qld.*, MA *Qld.*
- Mr Denis J. Brosnan, BA(Hons) *Qld.*, MLitSt *Qld.*
- Mr John A. Desmarchelier, AM, ED, BCom *NSW*, BEcon *Qld.*, MBA *NE*, FAIM, FAICD
- Dr John M. Fenwick, BE(Hons) *Qld.*, DPhil *Oxf.*, MIEAust
- Dr Mary D. Mahoney, MB BS *Qld.*, GDipClinEd *NSW*, FRACGP, FAIM, FAMA
- Professor Kay E.B. Saunders, AM, BA(Hons) *Qld.*, PhD *Qld.*
- Dr Frederick Schubert, BSc *Qld.*, MBBS *Qld.*, BA *Qld.*, FRANZCR, FRCR, DDU, FAMA, FASMF, FAIM
- Professor Robert N. Wensley, QC, BE(Hons) *Qld.*, MEngSc *Qld.*, LLB(Hons) *Qld.*

## **Additional members**

- Mr Peter G. Annand BA, LLB *Qld.*, BCL *Oxf.*
- Mr Nicholas W. Stump, MAppSc *Adel.*

## **Changes to Senate membership during 2003**

- March: Dr Andrew Bonnell represented the Academic Staff Association throughout 2003, first as Acting President (to June) and thereafter as President following elections in July. He succeeded Associate Professor George Lafferty, who retired as President in December 2002.
- December: Mr Aaron Marsham retired as President of The University of Queensland Union. His successor was Ms T. Margot Balch.